

## FATCA DECLARATION FORM

### Introduction

As a Malaysian Foreign Financial Institution (MYFI), TA Futures Sdn Bhd (hereinafter referred to as "TAF") is obliged under an agreement reached in substance between the Government of Malaysia and the Government of the United States of America to collect certain information about each account holder's tax residency status and to verify the status of the account holder for Foreign Account Tax Compliance Act (hereinafter referred to as 'FATCA') purposes.

### Instruction

Please complete this FATCA Declaration Form and provide any additional information or document as may be required by the relevant section. Please consult your tax, legal and/or other professional advisers if you have any question on or in relation to FATCA, any of the U.S. IRS Form or this Declaration Form. Account holders are required to complete Section A and B only.

Tick [ √ ] in the "Yes" or "No" column respectively for each of the following questions. Should you respond "Yes" to any of the following U.S. Indicia Status, you are required to produce the required documents. If you do not submit the required documents, TAF will have to report your name, address and other information to the Inland Revenue Board of Malaysia (hereinafter referred to as "IRBM").

Please return this Declaration Form to TAF before **15th of June 2016** as failure to do so may result in TAF reporting your account detail to IRBM. A duly completed Declaration Form shall be returned to TAF's address, which can be found on the last page of this Declaration Form. If the client is unable to return the Declaration Form before the stipulated date, a scanned copy e-mailed to **mohdfairuz@ta.com.my** would be deemed sufficient to meet the deadline. Notwithstanding the aforementioned, the client will still be required to send the original copy of the Declaration Form to TAF for record-keeping purposes.

### Section A

U.S. Indicia Status (Individual)	Yes	No	Document Required If Yes
1. Are you a U.S. citizen?	<input type="checkbox"/>	<input type="checkbox"/>	W-9 and U.S. Passport
2. Are you a U.S. Resident and a green card holder?	<input type="checkbox"/>	<input type="checkbox"/>	
3. Is U.S. your country of birth?	<input type="checkbox"/>	<input type="checkbox"/>	W-8BEN and MyKad / Malaysian Passport / Loss Nationality Certificate
4. Is your residence address or mailing address (including a U.S. post office box) in the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	W-8BEN and MyKad / Malaysian Passport / Certificate of Residence
5. Do you have a current U.S. telephone number?	<input type="checkbox"/>	<input type="checkbox"/>	W-8 BEN and Mykad / Malaysian Passport
6. Do you currently maintain any standing instructions to the U.S?	<input type="checkbox"/>	<input type="checkbox"/>	
7. Do you currently grant power of attorney or signatory authority to any person(s) within the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	
8. Do you currently have a 'hold mail' or 'in care of' address located in the U.S. as your sole address?	<input type="checkbox"/>	<input type="checkbox"/>	

U.S. Indicia Status (Corporate)	Yes	No	Document Required If Yes
1. Is the company / entity incorporated in the U.S.? 2. Does the company have a U.S. beneficiary? 3. Is any of the company's director / promoter /authorized signatory / POA holder based in out of the U.S. or holds U.S. residence / citizenship? 4. Does the company have one or more U.S. beneficial owners / shareholders with more than 10% ownership on vote or value of a stock? 5. Does the company have partners (of U.S.) owning more than 10% profit or capital interest in a partnership? 6. Any U.S. "owner" of a grantor trust or, to the extent provided in regulations, a more than 10% beneficial interest in a trust? 7. Does the company pay tax in the U.S.?	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	W-9 Form
8. Does the company hold a mailing address or communication address in the U.S.?	<input type="checkbox"/>	<input type="checkbox"/>	W-8 BEN-E Form for non-U.S. entity W-9 Form for U.S. entity
9. Does the company have a U.S telephone number?	<input type="checkbox"/>	<input type="checkbox"/>	W-8 BEN-E Form

## Section B

### Client Details

1. Name or Registered Business Name (as per official document).

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2. NRIC or Passport No. or Business Registration No.

3. Client Code.

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3. Mailing Address.

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4. Telephone Number

5. Email Address

6. U.S. TIN\* (if any).

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\* U.S. TIN refers to United States Taxpayer identification number. It is a unique number used by the tax authorities to identify a person or business in the tax system for the purpose of tax administration.

**Declaration and Representation**

1. I / We represent and declare that the information provided is true, accurate and complete, and agree to provide TAF with any further documentation or information required in accordance with the requirements of the FATCA.
2. I / We hereby provide my / our consent to TAF to report any relevant information to regulatory authorities in accordance with the requirements of FATCA as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.
3. I / We hereby provide my / our consent to TAF withholding from my / our account(s) such amounts in accordance with the requirements of FATCA as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.
4. I / We agree that if there is any change in the information provided to TAF that classify me / us as a U.S. person or deemed by TAF as a recalcitrant (a person who fails to comply with reasonable requests for information to determine if this account belongs to a U.S. person), TAF has the right to terminate my / our account(s) and the facilities granted to me / us.
5. I / We undertake to notify TAF in writing within 30 calendar days if there is any change in the information provided herein.
6. I / We agree to indemnify TAF in respect of any false or misleading information regarding my / our U.S. person status for FATCA purposes.

Signature

Signature

.....  
Name of Account Owner (1) / Authorised Signatory (1)

.....  
Name of Account Owner (2) / Authorised Signatory (2)

.....  
Date

.....  
Date

Kindly photocopy this page if there are more than 2 account holders or more than 2 authorised signatories are required to attest this document.

**SECTION C (FOR TAF'S USE ONLY)**

**Form's Processing**

**Yes**

**No**

1. Are all the relevant questions answered?



2. Have the client provided all the relevant supporting documents?



3. Is the Declaration Form completed?



Checked By (Signature)

Verified By (Signature)

.....  
Name

.....  
Name

.....  
Date

.....  
Date