

FATCA/CRS INDIVIDUAL SELF CERTIFICATION

Please read these instructions before completing the form.

Under Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS), TA Futures Sdn. Bhd. (TAF) is required to collect and report certain information to the local tax authority on the status of our customers.

Should there be a change in circumstances relating to information, such as the Account holder’s tax status or other mandatory field information that makes this form incorrect or incomplete, please let us know by notifying us or providing us with an updated Self-Certification Form.

Please return this Declaration Form to TAF together with the Account opening form, as failure to do so may result in TAF reporting your account detail to Inland Revenue Board Malaysia (IRBM). A duly completed Declaration Form shall be returned to TAF’s address, which is on the last page of this Declaration Form. If the client is unable to return the Declaration Form immediately, please send a scanned copy via e-mailed to **tafops@ta.com.my**. Notwithstanding the aforementioned, the client will still be required to send the original copy of the Declaration Form to TAF for record-keeping purposes.

We are not allowed to give tax advice. Kindly consult your tax or legal adviser should you have questions on or in relation to FATCA and CRS.

Part 1 - Account Holder Details

Title: Mr Ms Madam or Others (Please specify)

Name (As per NRIC/Passport):

Date of Birth (dd/mm/yyyy): Gender: Male Female

NRIC No: or Passport No:

Citizenship:

Contact No: E-mail Address:

Residence Address: Mailing Address: (Complete if different from the current residence address)

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Postcode: Postcode:

Country: Country:

Part 2 - FATCA Self Certification

- | | Yes | No |
|--|--------------------------|--------------------------|
| 1. Are you a United States citizen? | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Do you hold a United States Permanent Resident Card (Green Card)? | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Are you a United States Resident? | <input type="checkbox"/> | <input type="checkbox"/> |

If you have ticked “Yes” to any of the questions no. (1) to (3) above, please complete and submit the U.S. IRS Form W-9 (<https://www.irs.gov/pub/irs-pdf/fw9.pdf>.)

- | | | |
|---|--------------------------|--------------------------|
| 4. Do you currently maintain any standing instructions to the United States? | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. Do you currently grant power of attorney or signatory to any person(s) within the United States? | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. Do you currently have a “hold mail” or “in care of” address located in the United States as your sole address? | <input type="checkbox"/> | <input type="checkbox"/> |

If you have ticked “Yes” to any of the questions no. (4) to (6) above, please complete and submit the U.S. IRS Form W-8 BEN (<https://www.irs.gov/pub/irs-pdf/iw8bene.pdf>.)

Part 3 – CRS Self Certification

Jurisdiction of Residence and Taxpayer Identification Number (TIN)

Complete the following information in the respective column below:

- a. The Jurisdiction of residence where the Account holder is a resident for tax purposes (except for Malaysia); and
- b. The Account holder’s TIN for each Jurisdiction indicated.
(Indicate All Jurisdictions of residence)

Country of Tax Residence	TIN
1.
2.
3.

If a **TIN** is unavailable, tick ONE of the following reasons:

- The Jurisdiction where the Account holder is a resident for tax purpose does not issue **TINs** to its residents.
- TIN** is not required (Select this reason only if the authorities of the Jurisdiction of residence do not require the **TIN** to be disclosed).
- The Account holder is unable to obtain a **TIN** (If you select this reason, please explain why you are unable to obtain the above **TIN**).

1.
2.
3.

Note:

If the Account holder is a resident for tax purpose in more than three (3) countries, please use a separate sheet.

Part 4 - Declaration**Declaration**

I declare that the information provided is true, accurate and complete, and agree to provide TAF with any further documentation or information required in accordance with the requirements of the FATCA/CRS.

I understand the term "U.S Person" means any citizen or resident of the United States.

I hereby provide my consent to TAF to report any relevant information to regulatory authorities in accordance with the requirements of FATCA/CRS as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

I hereby provide my consent to TAF withholding from my account(s) such amounts in accordance with the requirements of FATCA/CRS as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

I agree that if there is any change in the information provided to TAF that classify me as a Non-Malaysian person or deemed by TAF as a recalcitrant (a person who fails to comply with reasonable requests for information to determine if this account belongs to Non-Malaysian person), TAF has the right to terminate my account(s) and the facilities granted to me.

I undertake to notify TAF in writing within thirty (30) calendar days if there is any change in the information provided herein.

I agree to indemnify TAF in respect of any false or misleading information regarding my citizenship status for FATCA/CRS purposes.

Signature of Account Owner

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Name of Account Owner

.....

Date (dd/mm/yyyy):

FOR TAF USE ONLY

Form's Processing	Yes	No
1. Are all the relevant questions answered?	<input type="checkbox"/>	<input type="checkbox"/>
2. Have the client provided all the relevant supporting documents?	<input type="checkbox"/>	<input type="checkbox"/>
3. Is the Declaration Form Completed?	<input type="checkbox"/>	<input type="checkbox"/>

Checked by (Signature)	Verified By (Signature)
Name:	Name:
Department:	Department:
Date:	Date:

Address: TA Futures Sdn Bhd, 32nd Floor, Menara TA One, 22, Jalan P.Ramlee, 50250 Kuala Lumpur.